

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

3829

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/589372

INTERNATIONAL APPLICATION NO.

PCT/EP 2005/001693

INTERNATIONAL FILING DATE

02/18/2005

PRIORITY DATE CLAIMED

02/18/2004

## TITLE OF INVENTION

HAIR-TREATMENT AGENT COMPRISING TERPOLYMER OF VINYLPIRROLIDONE, MECHACRYLAMIDE AND VINYLIMIDAZOLE AND ACTIVE INGREDIENTS AND ADDITIVES

## APPLICANT(S) FOR DO/EO/US

Susanne BIRKEL, Thomas KRAUSE, Michael FRANZKE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 261934660 US
23. ☒ Other items or information:

NOTICE OF CORRESPONDENCE ADDRESS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known—see 37 CFR 1.5) <b>10/589372</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP 2005/001693</b>		ATTORNEY'S DOCKET NUMBER <b>3829</b>	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>
24. <input checked="" type="checkbox"/> Basic national fee . . . . .				<b>\$300</b>	<b>\$300.00</b>
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). . . . .				<b>\$100</b>	<b>\$200.00</b>
All other situations. . . . .				<b>\$200</b>	
26. <input checked="" type="checkbox"/> Search fee . . . . .					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . .				<b>\$100</b>	
International Search Report prepared and provided to the Office . . . . .				<b>\$400</b>	
All other situations. . . . .				<b>\$500</b>	
<b>TOTAL OF 24, 25 and 26 =</b>				<b>\$</b>	<b>\$1,000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	0	/50 = 0		x \$250.00	\$ \$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	23 - 20 =	3	x \$50.00	\$	\$150.00
Independent claims	1 - 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	\$1,150.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$0.00
<b>SUBTOTAL =</b>				\$	\$1,150.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
<b>TOTAL NATIONAL FEE =</b>				\$	\$1,150.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	\$1,150.00
				Amount to be	\$
				Amount to be	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>194675</b> in the amount of <b>\$1,150.00</b> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>194675</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO: <b>STRIKER, STRIKER &amp; STENBY</b> <b>103 EAST NECK ROAD</b> <b>HUNTINGTON, NY 11743</b></p>					
				SIGNATURE	
				<b>MICHAEL J. STRIKER</b>	
				NAME	
				<b>27233</b>	
				REGISTRATION NUMBER	

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner:

Art Unit:

Docket # 3829

Applicant(s): BIRKEL, S., ET AL

Serial No.:

Filed:

For : HAIR-TREATMENT AGENT  
COMPRISING...

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

August 15, 2006

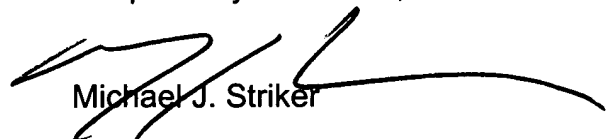
**NOTICE OF CORRESPONDENCE ADDRESS**

The subject application is being filed without the signature of the inventor(s).

It is requested that all correspondence be directed to the undersigned:

**Michael J. Striker**  
**103 East Neck Road**  
**Huntington, NY 11743**  
**Tel.: (631) 549 4700**  
**Fax: (631) 549 0404**  
**Reg. No.: 27233**  
**Customer no.: 278**

Respectfully submitted,

  
Michael J. Striker

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- Enroute, August 15, 2006, 5:19 pm, MELVILLE, NY 11747
- Acceptance, August 15, 2006, 4:27 pm, CENTERPORT, NY 11721

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**10/589372**

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